

RAYMOND JAMES KNEW MOTHER WAS BEING STOLEN FROM BUT DID NOT CARE

I contacted the home office of Raymond James in Florida several times after Mother was kidnaped by logie/sister in August 2021 and told them the guardian mafia were stealing money from her using fraud. RJ never did anything about it and refused to put a hold on Mother's account and investigate. Worthless maggots.

RAYMOND JAMES®

Via E-Mail to: tomlinher1925@tutanota.com

February 13, 2024

Arthur Herring III

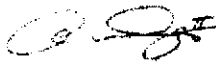
Re: INC-2023-006098

Dear Mr. Herring,

The Dispute Resolution Team of Raymond James Financial, Inc. has reviewed your concerns as detailed in your January 31, 2024 email directed to Raymond James & Associates, Inc. ("RJA") Financial Advisor, Matthew Fisher. Our records still indicate that you are not authorized to act on behalf of the account(s) in question.

Because you have provided no new information, our position as detailed in our August 23, 2023 letter to your attention, remains unchanged. We do not intend to respond to any further communication regarding this issue unless and until you provide documentation showing you are authorized to act on the referenced account(s).

Sincerely,



Andrew Avery
Sr. Advisor, Dispute Resolution
Raymond James Financial, Inc.

Raymond James Financial, Inc.

880 Canillon Parkway, St. Petersburg, FL 33716 • 1-800-248-5863 • RaymondJames.com
Mailing Address: P.O. Box 23614, St. Petersburg, FL 33742

RAYMOND JAMES®

Via E-Mail to: tomlinher1925@tutanota.com

August 23, 2023

Arthur Herring III

Re: Incident #: INC-2023-006098

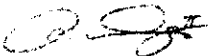
Dear Mr. Herring,

The Dispute Resolution Team for Raymond James Financial Services, Inc. ("RJFS") has been advised of your July 14, 2023 email directed to Raymond James & Associates, Inc. ("RJA") Financial Advisor, Matthew Fisher, wherein you expressed concerns regarding the legitimacy of a court-ordered guardianship for your mother, Mrs. Jane T. Herring.

RJA is not in possession of documentation indicating that you are an authorized party on behalf of the account. We will notify any authorized party(ies) of your concerns and conduct a detailed review of your claims. As you are not authorized to act on behalf of any account with RJA, we will be unable to provide any updates or information to you regarding any actions taken in response to this complaint.

Thank you for your understanding and providing us the opportunity to review your concerns.

Sincerely,



Andrew Avery
Sr. Advisor, Dispute Resolution
Raymond James Financial, Inc.

Raymond James Financial, Inc.

880 Carillon Parkway, St. Petersburg, FL 33716 • T 800 248.6863 • RaymondJames.com
Mailing Address: P.O. Box 21814, St. Petersburg, FL 33742